

Task 13 Reliability and Performance of Photovoltaic Systems

## Design recommendations of PV system affected by shading – Webtool PVshade

Franz Baumgartner, Cyril Allenspach - ZHAW, Winterthur, [www.zhaw.ch/=bauf](http://www.zhaw.ch/=bauf)

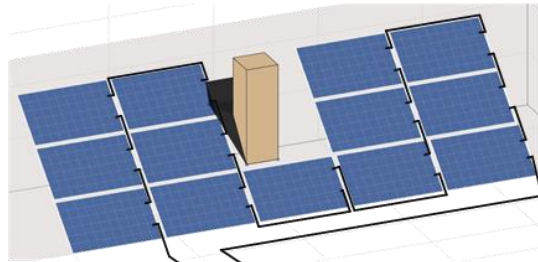
EUPVSEC IEA side event 2023-09-21

# State of the art and challenges – PV Shading

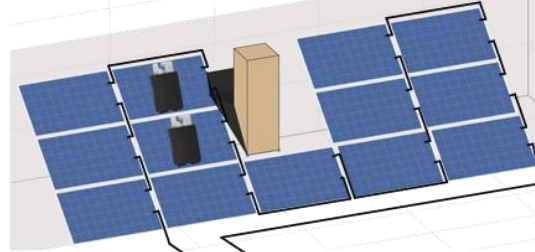


- Typical 99% efficiency for the DC/DC Optimizer is totally unrealistic for today's products
- The vast majority of the optimizers in use cannot demonstrate an additional yield of more than 5% relative to the proven string inverter system
- However, most customers believe they have a significant additional profit
- Commercial PV planning and annual yield calculation tools fail by up to 10% for optimizer
- Therefore, even the experienced PV planners do not offer customers any real system alternatives
- They are usually not informed to bear more than 90% of the costs for the replacement of defective optimizer on their roof, which can amount to several thousand euros
- Independent Optimizer, shading tolerant PV modules and small string inverters will come
- More independent researchers should support the PV planner by reliable results

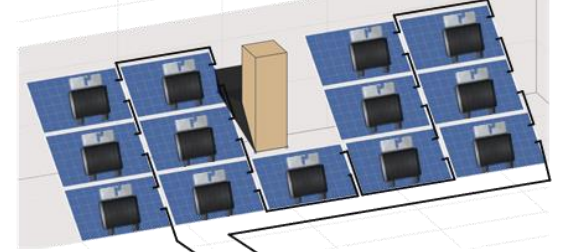
# PV power electronic conditioner on the markets



Konventionelles  
Strangwechselrichter  
System  
(SINV)



Partiell-ausgestattetes  
Power Optimizer  
System  
(indMLPE)



Vollausgerüstetes  
Power Optimizer  
System  
(allMLPE)





# Results for different typical shading scenarios



Table 2 – Overview table of the «Shading Adaption Efficiency» (SAE) for the allMLPE and SINV systems with corresponding annual energy yield gains of the MLPE systems for various shading scenarios.

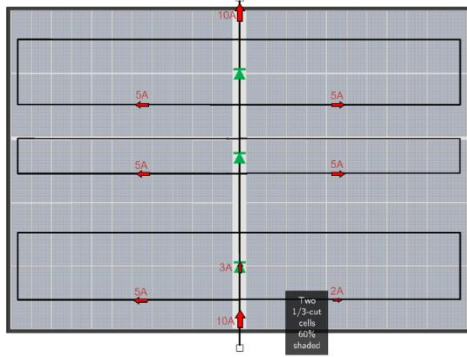
| Cases      | No: | Shading Severity | Shading index<br>$SI_{DC,Max}$<br>[%] | Simulated annual yield [kWh] |                 |               |            | MLPE Gain [%] |
|------------|-----|------------------|---------------------------------------|------------------------------|-----------------|---------------|------------|---------------|
|            |     |                  |                                       | no shading & no loss [kWh]   | no losses [kWh] | allMLPE [kWh] | SINV [kWh] |               |
| Dormer (s) | 1   | Low              | 0.9                                   | 4410                         | 4368            | 4207          | 4247       | -1.0          |
| Vent. Pipe | 2   | Low              | 2.9                                   | 4410                         | 4282            | 4122          | 4129       | -0.2          |
| Chimney    | 3   | Low              | 3.6                                   | 6337                         | 6109            | 5904          | 5858       | 0.8           |
| Tree 1     | 4   | Medium           | 5.0                                   | 5295                         | 5029            | 4862          | 4802       | 1.3           |
| Tree 2     | 5   | Medium           | 6.0                                   | 4410                         | 4145            | 3987          | 3926       | 1.5           |
| Building   | 6   | Medium           | 7.9                                   | 4410                         | 4062            | 3905          | 3802       | 2.7           |
| Dormer (L) | 7   | Heavy            | 9.1                                   | 5295                         | 4812            | 4643          | 4435       | 4.7           |
| Roof Edge  | 8   | Heavy            | 12.7                                  | 4410                         | 3847            | 3693          | 3621       | 2.0           |

~ 3% gain

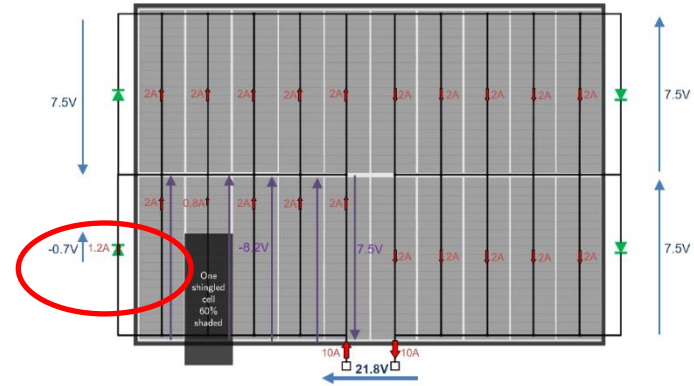
# More bypass diodes – shading tolerant modules



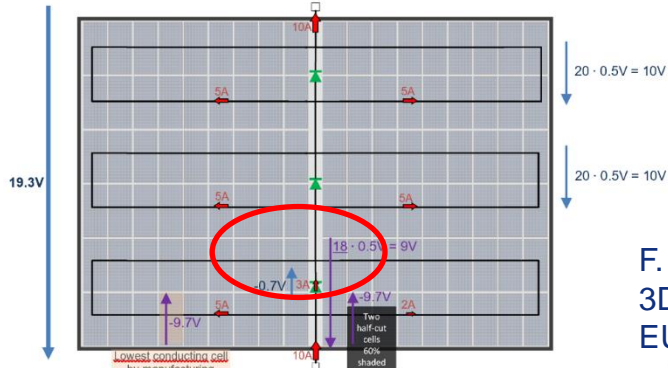
3 BD in the reference standard module



4BD in a shading tolerant module

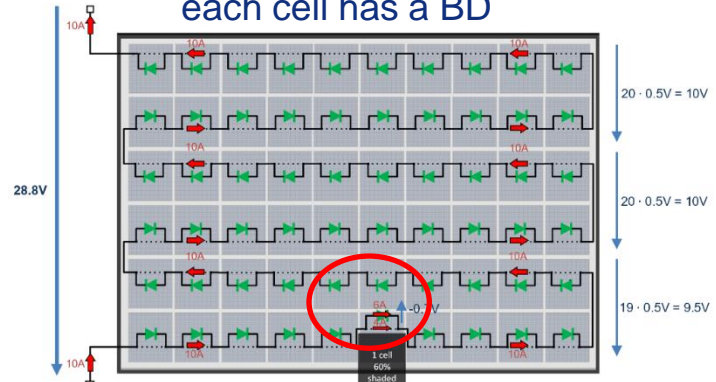


PVPS



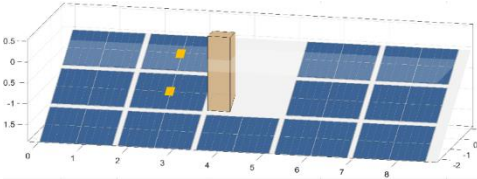
F. Baumgartner,  
3DO.16.1  
EUPVSEC 2023

each cell has a BD





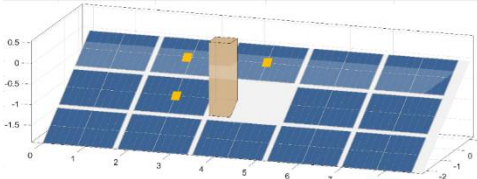
# Shading tolerant PV modules are effective 1



#13 PV modules  
SI about 2%

| Relative Annual Energy   |                 | Full Cell PV Module | Half-cut cell PV Module | Third-cut cell PV Module  | 4 quadrant shingled | Shading-resistant All Cells + Diode |   |
|--------------------------|-----------------|---------------------|-------------------------|---------------------------|---------------------|-------------------------------------|---|
| Unshaded + no losses     |                 |                     |                         | 100                       |                     |                                     | % |
| shaded + no losses       |                 |                     |                         | 96.8                      | 98.4                | 97.8                                | % |
| SINV                     | Relative Energy | 93.0                | 92.9                    | 92.7                      | 94.0                | 93.9                                | % |
| indMLPE                  | Relative Energy | 93.8                | 93.6                    | 93.1                      | 94.6                | 94.8                                | % |
|                          | MLPE Gain       | 0.9                 | 0.8                     | 1.1                       | 0.6                 | 0.4                                 | % |
| allMLPE                  | Relative Energy | 93.3                | 92.8                    | 93.7                      | 94.4                | 94.2                                | % |
|                          | MLPE Gain       | 0.3                 | -0.2                    | 0.4                       | 0.3                 | -0.1                                | % |
| Average Rel. Energy      |                 | 93.3                | 93.1                    | 93.2                      | 94.4                | 94.3                                | % |
| Max. SINV diff.: 1.4%    |                 |                     |                         | Max. allMLPE diff.: 1.2%  |                     |                                     |   |
| Max. indMLPE diff.: 1.8% |                 |                     |                         | Max. Difference Tot: 2.1% |                     |                                     |   |

+1.8%



#14 PV modules  
SI about 3%

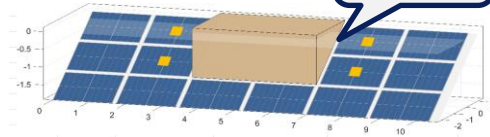
| Relative Annual Energy   |                 | Full Cell PV Module | Half-cut cell PV Module | Third-cut cell PV Module  | Shading-resistant 4 quadrant | Shading-resistant All Cells + Diode |   |
|--------------------------|-----------------|---------------------|-------------------------|---------------------------|------------------------------|-------------------------------------|---|
| Unshaded + no losses     |                 |                     |                         | 100                       |                              |                                     | % |
| shaded + no losses       |                 |                     |                         | 95.8                      | 98.0                         | 97.0                                | % |
| SINV                     | Relative Energy | 90.7                | 91.7                    | 91.2                      | 93.1                         | 92.9                                | % |
| indMLPE                  | Relative Energy | 92.1                | 92.7                    | 92.1                      | 93.8                         | 93.4                                | % |
|                          | MLPE Gain       | 1.4                 | 1.1                     | 1.6                       | 0.8                          | 0.5                                 | % |
| allMLPE                  | Relative Energy | 91.6                | 91.9                    | 92.6                      | 93.9                         | 93.0                                | % |
|                          | MLPE Gain       | 0.9                 | 0.3                     | 1.1                       | 0.9                          | 0.1                                 | % |
| Average Rel. Energy      |                 | 91.5                | 92.1                    | 92.0                      | 93.6                         | 93.1                                | % |
| Max. SINV diff.: 1.9%    |                 |                     |                         | Max. allMLPE diff.: 2.3%  |                              |                                     |   |
| Max. indMLPE diff.: 1.3% |                 |                     |                         | Max. Difference Tot: 2.2% |                              |                                     |   |

+3.1%

# Shading tolerant PV modules are effective 2

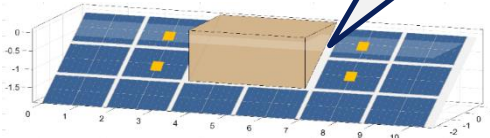


smaller gap



#14 PV modules  
SI about 3 - 6%

larger gap  
+15cm



#14 PV modules  
SI about 3 -5%

PVPS

|                            | Full Cell PV Module      | Half-cut cell PV Module | Third-cut cell PV Module | Shading-resistant 4 quadrant | Shading-resistant All Cells + Diode |             |
|----------------------------|--------------------------|-------------------------|--------------------------|------------------------------|-------------------------------------|-------------|
| Unshaded + no losses       |                          |                         | 100                      |                              |                                     | %           |
| shaded + no losses         | 94.3                     | 93.3                    | 93.5                     | 96.5                         | 96.6                                | %           |
| SINV Relative Energy       | 88.4                     | 87.4                    | 87.1                     | 90.8                         | 92.3                                | %           |
| indMLPE Relative Energy    | 90.6                     | 88.7                    | 88.7                     | 91.6                         | 92.7                                | %           |
| MLPE Gain                  | 1.4                      | 1.4                     | 1.7                      | 0.8                          | 0.3                                 | %           |
| allMLPE Relative Energy    | 89.6                     | 89.4                    | 89.7                     | 92.5                         | 92.7                                | %           |
| MLPE Gain                  | 2.4                      | 2.2                     | 2.9                      | 1.8                          | 0.4                                 | %           |
| <b>Average Rel. Energy</b> | 89.5                     | 88.5                    | 88.5                     | 91.7                         | 92.5                                | %           |
|                            | Max. SINV diff.: 5.1%    |                         |                          | Max. allMLPE diff.:          |                                     | 3.0%        |
|                            | Max. indMLPE diff.: 3.9% |                         |                          | <b>Max. Difference Tot:</b>  |                                     | <b>5.5%</b> |

+4.3%

|                               | Full Cell PV Module      | Half-cut cell PV Module | Third-cut cell PV Module | Shading-resistant 4 quadrant | Shading-resistant All Cells + Diode |             |
|-------------------------------|--------------------------|-------------------------|--------------------------|------------------------------|-------------------------------------|-------------|
| <b>Relative Annual Energy</b> |                          |                         |                          |                              |                                     |             |
| Unshaded + no losses          |                          |                         | 100                      |                              |                                     | %           |
| shaded + no losses            | 95.2                     | 94.4                    | 94.6                     | 97.3                         | 97.1                                | %           |
| SINV Relative Energy          | 90.1                     | 89.1                    | 88.9                     | 92.1                         | 92.9                                | %           |
| indMLPE Relative Energy       | 91.0                     | 90.0                    | 90.1                     | 92.6                         | 93.1                                | %           |
| MLPE Gain                     | 1.0                      | 1.1                     | 1.4                      | 0.6                          | 0.3                                 | %           |
| allMLPE Relative Energy       | 91.4                     | 90.4                    | 90.8                     | 93.2                         | 93.1                                | %           |
| MLPE Gain                     | 1.5                      | 1.5                     | 2.1                      | 1.2                          | 0.3                                 | %           |
| <b>Average Rel. Energy</b>    | 90.8                     | 89.8                    | 90.0                     | 92.6                         | 93.0                                | %           |
|                               | Max. SINV diff.: 3.9%    |                         |                          | Max. allMLPE diff.:          |                                     | 2.4%        |
|                               | Max. indMLPE diff.: 3.0% |                         |                          | <b>Max. Difference Tot:</b>  |                                     | <b>4.3%</b> |

+3.0%



# Recommendations – Manufacturer

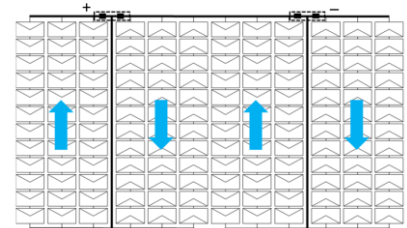
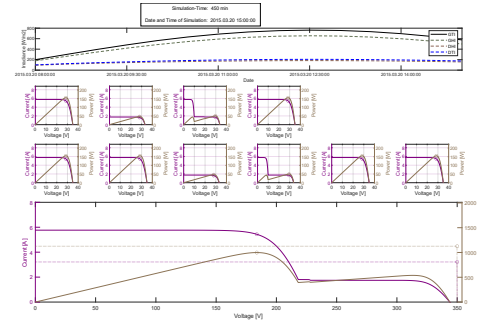


## New power electronic components:

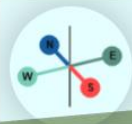
- **Small strings for DC/AC** need high eff. DC/DC components at lower DC voltage levels, (lower current) + arc detection
- Offer **higher inverter reliability, guarantee** due to operating condition in the building and not on the roof, reducing replacement costs which could significantly exceeds the purchase costs of initial optimizers + inverter
- Show typical system shading losses in your **data sheet** not only the EU or CA efficiency

## New shading tolerant PV modules:

- with more than 3 bypass diodes e.g. half cell butterfly modules **6 bypass** or 4 bypass diodes (see L. Rendler 2023) **less hot spot temperatures!**
- all half-cells in serial to get **higher** inverter MPP input **voltages** -



L. Rendler, IEEE PVSC 2023



## Shading cases ?

Filter cases

### Demo no shading

Demo case without shading.

No-Shading

### Demo 1

13 modules and chimney near modules.

Demo cases

### Demo 2

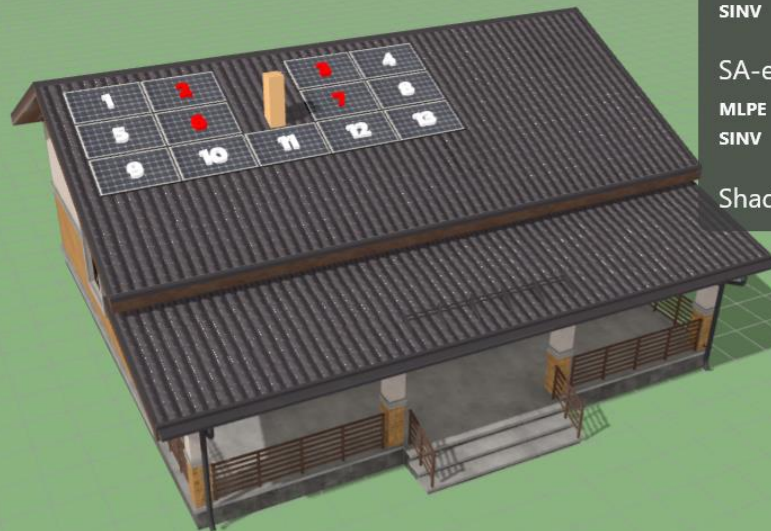
13 modules and chimney between modules.

Demo cases

### Demo 3

5 additional modules and centered chimney.

Demo cases



## Annual results

### AC-out:

MLPE 6,112 kWh/year

SINV 6,165 kWh/year

### SA-efficiency:

MLPE 94.62 %

SINV 95.44 %

Shading index: 0.94

20.06.2023

16:45



46.74161147 / 8.08483756

### Sun-times (GMT+2)

sunrise 05:34

solar noon 13:30 (highest sun pos)

sunset 21:26



## System definition description

|                         | SINV                            | independent MLPE  | all MLPE          |
|-------------------------|---------------------------------|-------------------|-------------------|
| <b>Inverter type</b>    | Fronius, Symo 5kW               | Fronius, Symo 5kW | Solaredge, SE3500 |
| <b>Optimizer system</b> | -                               | Solaredge, P370   | Solaredge, P370   |
| <b>Module type</b>      | 13 x JA Solar - JAM60S20 375/MR |                   |                   |

**Inclination:** 25° **Plant size:** 23.7 m<sup>2</sup> **Peak power:** 4.9 kW  
**Rotation:** 180° **Theoretical DC-max:** 6,459.5 kWh

## Environment

**Pos.:** 8.08484 / 46.74161 (lon/lat)  
**Albedo:** 0.17  
**Shading index:** 0.941

## Simulation

**Time-period:** 2018-01-01 / 2018-12-31  
**Sim-steps:** 30 min

## Annual results

**Performance ratio:** 0.95

[analyse single PV-modules](#)

|                                       | SINV                 | independent MLPE | all MLPE             |
|---------------------------------------|----------------------|------------------|----------------------|
| <b>AC-out</b>                         | 6,164.8 kWh (-0.06%) | 6,168.7 kWh      | 6,111.9 kWh (-0.92%) |
| <b>DC-out</b>                         | 6,422.6 kWh          | 6,426.6 kWh      | 6,320.6 kWh          |
| <b>AC-out (specific)</b>              | 1,264.6 kWh/kWp      | 1,265.4 kWh/kWp  | 1,253.7 kWh/kWp      |
| <b>SA-efficiency (AC)</b>             | 95.44 %              | 95.5 %           | 94.62 %              |
| <b>SA-efficiency (DC)</b>             | 99.43 %              | 99.49 %          | 97.85 %              |
| <b>Total energy loss (to DC max.)</b> | -4.56 %              | -4.5 %           | -5.38 %              |

## Shading cases

Filter cases

- Demo no shading**  
Demo case without shading.  
No-Shading
- Demo 1**  
13 modules and chimney near modules.  
Demo cases
- Demo 2** (selected)  
13 modules and chimney between modules.  
Demo cases
- Demo 3**  
5 additional modules and centered chimney.  
Demo cases

Shading-case ID home

# Final Recommendation to PV planner/installers



- **Inform the customer** about the expected **performance difference** with your plant layout **with or without optimizer** or **shading tolerant modules** in % of annual yield
- **Inform** the customer about the **replacement costs** of power electronic equipment, inverter and optimizer **including labour cost on the roof!**
- Use **standard string inverter** for **light shading** e.g. one chimney to reduce risk of replacement costs
- **Medium and strong shading** or more shading objects– use **shading tolerant modules** for robust solution (risk of replacement costs also reduced)  
or  
**independent optimizer** for a few PV modules which are shaded during the highest irradiation a day for a few hours e.g. > 4 hours, or check the availability of shading tolerant PV modules
- Use **all optimizer solution** for **small strings** <8 PV modules and **different orientation** of modules in the PV generator and out of range of the string inverters numbers or MPP inverter tracker
- Ask for **commercial deep technical and reliable PV planning tools** using real **losses of each optimizer** and not the limited available efficiency number at selected unrealistic operating points at simulation runs **periods** smaller than **half an hour**

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Zurich University of  
Applied Sciences  
Switzerland  
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[pvshade.engineering.zhaw.ch](http://pvshade.engineering.zhaw.ch), Jan 2024 website will be active  
IEAT13 Report, PV Shading & MLPE, will be published in 2024  
talks videos about optimizer <https://youtu.be/NILg1MOyvWg>

